

04/20/01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

CHECK BOX, if applicable: ☐ DUPLICATE
(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Attorney Docket No.: 30013630-0019

First Named Inventor: Dietrich CHARISIUS

Title of Invention: Methods and Systems for Identifying Dependencies Between Object Oriented Elements

Express Mail Label No: EL676990213US

ADDRESS TO: Assistant Commissioner for Patents
BOX Patent Application
Washington, DC 20231

1. ☒ This Form includes the Fee Transmittal (See Box 18)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification Total Pages 84
(pages and 1 cover page)
4. ☒ Drawing(s) (35 USC 113) Total Sheets 41
(Figs. 1-36)
5. ☒ Declaration and Power of Attorney Total Pages 3
 - a. ☐ Newly executed (original or copy)
UNEXECUTED
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - c. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s)
named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R in duplicate; or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s)) Total Pages _____
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy Assignment Papers from a prior application
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ IDS By Applicant (PTO/SB/08A or PTO-1449)
 - b. ☐ Copies of IDS Citations are enclosed
 - c. ☐ Copy IDS Citations from a prior application
13. ☐ Preliminary Amendment "A" to
1.53(b) DIVISIONAL Total Pages 2
14. ☒ Return Receipt Postcard (properly addressed; postage prepaid)
15. ☐ Certified copy of _____ Priority Document:
Document No. _____, filed on _____
16. ☐ Request and Certification under 35 USC 122
 - a. ☐ Non-publication Request (PTO/SB/35 or equivalent)
 - b. ☐ Publication Request (PTO/SB/35 or equivalent)
17. ☒ Other:
 - a. ☒ Express Mail Certificate (No. EL676990213US)

18. ☒ CONTINUING APPLICATION. If continuing application, check appropriate box and supply the requisite information:
- a. ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/680,063
 - b. ☒ Prior application information: Examiner Name: _____ Group Art Unit: _____
 - c. ☒ The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
	TOTAL CLAIMS	225	205	\$18.00	\$3690.00
	INDEPENDENT CLAIMS	22	19	80.00	1520.00
	ANY MULTIPLE DEPENDENT CLAIMS?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		270.00	0.00
				TOTAL FILING FEE Reduced by half Small Entity Status	\$5920.00 \$2960.00

- d. ☐ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- e. ☐ A check including the amount of \$ _____ to cover the total filing fee is enclosed.

19. ☒ CORRESPONDENCE ADDRESS:
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DATE: April 20, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Charisius, Dietrich; Coad, Peter

Application No.: not yet assigned

Group No.: not yet assigned

Filed: 04/20/2001

Examiner: not yet assigned

For: METHODS AND SYSTEMS FOR IDENTIFYING DEPENDENCIES BETWEEN OBJECT-ORIENTED ELEMENTS

Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

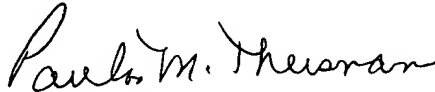
"Express Mail" label number EL676990213US
Date of Deposit 04/20/2001

I hereby state that the following *attached* papers or fee

Utility Patent Application - Specification, Claims and Abstract
Formal Drawings (41 sheets)
Unexecuted Declaration and Power of Attorney
Return receipt postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Paula M. Theismann



Signature of person mailing paper or fee